







V0.0 August 2018

GN40: BREEAM, CEEQUAL, HQM Ecology Assessment Issues Reporting Template

Applicability of this Guidance Note (GN) to the BREEAM Family of Schemes (BREEAM, CEEOUAL, HOM)

This quidance note is applicable for BREEAM, CEEQUAL and HQM schemes used in the UK which opened for registrations from 2018

The relevance of this document to a project undergoing an assessment under any of these schemes is dependent on the version of the scheme being used. Reference should be made to the scheme Technical manual and specifically the assessment issue content to determine this. Where the assessment criteria do not align the information set out here is not relevant and cannot be used as a tool for demonstrating compliance with the assessment criteria in those versions of those schemes.

Where the term Assessor is used in this document this refers to the BREEAM, CEEQUAL or HQM Assessor as appropriate.

Purpose and Scope of this Guidance Note

The purpose of this guidance note is to help the Assessor relate the information generated during the project to the BREEAM, CEEQUAL or HQM ecology assessment issues in the scheme being used for assessment. The guidance in this document has been produced to support the assessment of these issues and should not be interpreted as criteria. Use of this document as a template is optional. If the Assessor chooses to use the template provided within this guidance note as evidence in the assessment the Assessor, project team member or the appointed Suitably Qualified Ecologist must complete all relevant sections.

The completed document can then be used by the Assessor along with all relevant project documentation to demonstrate compliance with the BREEAM, CEEQUAL or HQM criteria.

Evidence Used to Demonstrate Compliance

Evidence does not need to be prepared specifically for the purpose of the BREEAM, CEEQUAL or HQM assessment. Wherever possible the Assessor should source readily available project information to demonstrate compliance. For this reason, BREEAM is generally not prescriptive on the type of evidence required, although some issues do require specific documents to be provided.

The Assessor and project team will find that many assessment issues require more than one piece or type of information to demonstrate compliance with one criterion. However, in some instances, a single piece of information may be sufficient to demonstrate compliance with multiple criteria.

To help project teams and the Assessor understand how the different types of building information documentation they collate can be used as evidence at each stage of assessment, the evidence types are grouped broadly into three categories:

- 1. General evidence type
- 2. Specific evidence type
- 3. Other evidence type.

For some assessment issues, the Assessor will require a mix of general and specific evidence types. For more guidance see the 'Evidence Requirement' section of the relevant technical manual.









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Sections in this Document

There are 4 sections (sections A - D) in this document.

- 1. Section A: Scheme, Assessment Route and Contact Details
 - Section A1: identifies the BREEAM family scheme the project has been assessed under.
 - Section A2: identifies the assessment route that has been used for the project.
 - · Section A3 requires details and contact details for the project team member (Route 1 only) or the Ecologist (Route 2 only) and developer/client.

2. Section B Expertise

- · Section B1 requests a list of the organisations / experts which were consulted in support of the assessment of the ecology assessment issues (Route 1 assessments).
- · Section B2 determines whether the appointed ecologist is 'suitably qualified' as defined by BREEAM (Route 2 assessments).
- 3. Section C Information Provided to Support the Assessment of the Ecology Assessment Issues.

This section provides the Assessor with a template to guide the extraction of the necessary information to complete the ecology assessment of issues and to summarise the evidence being submitted to support achievement of the issue.

- Section C1 should be completed for Route 1 assessments
- Section C2 should be completed for Route 2 assessments

Note: There are four core assessment issues which relate to ecology (exact titles may vary according to scheme):

- o Identifying and understanding the risk and opportunities for the project
- o Managing negative impacts on ecology
- o Change and enhancement of ecological value
- o Long term ecology management and maintenance
- 4. Section D Signature of Validation

This section requires the signature of the project team member or appointed suitably qualified ecologist as appropriate to the assessment route for the project.

Please note: it is only the appointed qualified and licensed Assessor who can confirm the award or otherwise of a credit or equivalent for a BREEAM, CEEQUAL or HQM assessment.

Where the Assessor assists in the completion of this document the project team member (for Route 1 assessments) or the ecologist (for Route 2 assessments) must completed and sign the final section (D1) to confirm the contents are accurate.











section A II information Abo	ut the Breeam Family Scheme the Development / Project Has Been Assessed Under
1) Please enter the full name of assessed against:	the scheme including version, revision number and year (e.g. Home Quality Mark One v 2.3, 2019) b
2) Please add the project details	
BRE project reference number	if known):
Development name:	
Development address:	
	te Used for the Development / Project
1. Which assessment route is be	ing used for the project?
Route 1 (Project team member)	Route 2 (Ecologist)
Section A21 Contact Details	
Section A3: Contact Details	
	ls (complete for Route 1 assessments only)
Project team member detai	
Project team member detail	
Project team member detail Company name: Company address:	ls (complete for Route 1 assessments only)
Project team member detail Company name: Company address: Contact name:	ls (complete for Route 1 assessments only)
Project team member detail Company name: Company address: Contact name: Project team member report re	ls (complete for Route 1 assessments only)
Project team member detail Company name: Company address: Contact name: Project team member report re	Is (complete for Route 1 assessments only) ference (where applicable):
Project team member detail Company name: Company address: Contact name: Project team member report re Ecologist's details (complete	Is (complete for Route 1 assessments only) ference (where applicable):
Project team member detail Company name: Company address: Contact name: Project team member report re Ecologist's details (complete Company name:	Is (complete for Route 1 assessments only) ference (where applicable):











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Develope	er/Client details (Complete for both Route 1 and Route 2 assessments)
Company	name:
Company	address:
Contact na	ame:
Sectior	n B Expertise
	: Recognised Local Ecological Expertise (Route 1 Only)
Please list th assessment	ne names and websites of the organisations who provided recommendations, specialist input or guidance which supported the of the site.
	Ecologist's Qualifications (Route 2 Only) nold a degree (or equivalent qualification, e.g. N/SVQ level 5) in ecology or a related subject?
Yes	No
If yes, pleas	e provide details
If yes, pleas	e provide details
If yes, pleas	e provide details

Note: The following degrees might be considered relevant: Ecology, Biological Sciences, Zoology, Botany, Countryside Management, Environmental Sciences, Marine and Freshwater Management, Earth Sciences, Agriculture, Forestry, Geography, Landscape Management.











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2. Are you a practising ecologist with a minimum of 3 years relevant experience within the last 5 years?
Yes No
If yes, please provide details
Note: Relevant experience must clearly demonstrate a practical understanding of factors affecting ecology in relation to construction and the built environment; including, acting in an advisory capacity to provide recommendations for ecological protection, enhancement and mitigation measures. Examples of relevant experience are: ecological impact assessments; Preliminary Ecological Appraisals (PEA); Phase 2 habitat and fauna surveys; and habitat creation.
3. Are you bound by a professional code of conduct and subject to peer review*?
Yes No
If yes, please provide details including which organisation you are a full member of.
*Note: a full member of one of the following organisations is considered to be bound by a professional code of conduct and subject

to peer review: Chartered Institution of Water and Environmental Management (CIWEM); Chartered Institute of Ecology and Environmental Management (CIEEM); Institute of Environmental Management and Assessment (IEMA); Landscape Institute (LI); Institute of Environmental Sciences (IES); Royal Society of Biology; Institute of Environmental Sciences.

Peer review is defined as the process employed by a professional body to demonstrate that potential or current full members maintain a standard of knowledge and experience required to ensure compliance with a code of conduct and professional ethics.

If 'no' has been answered for any question in Section B2 the requirements for carrying out an assessment using route 2 have not been met and no credits or points can be awarded for any ecology assessment issues under Route 2.









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Section C: Information Provided to Support the Assessment of the Ecology Issues

General note

When completing entries the level and detail provided should be appropriate to the scope and scale of the project.

Completing text fields in 'justification, explanatory, why boxes'.

Where the information is available within an existing document simply reference the relevant section of that document (including page number, section and clause, as applicable). There is no need to repeat the content in the justification, explanation or why boxes if this already exists elsewhere.

Section C1: Complete For Route 1 Assessments

or international legislation relating to the ecology of the site?

Assessment Issue: Identifying and Understanding the Risks and Opportunities for the Project or Site

Prerequisite

Yes	No
If yes, please pro	ovide details on all relevant current UK and EU legislation that relates to the site:

1. Has the client or designated representative (e.g. contractor etc.) confirmed that compliance is monitored against all relevant UK and EU

Survey and evaluation

2. Ha	as the BREEAM,	CEEQUAL,	HQM Ecology	Risk Evaluation	Checklist bee	n completed?
-------	----------------	----------	-------------	-----------------	---------------	--------------

Yes	No
YUS	IV(

Determining the ecological outcomes for the site

3. Have the survey and evaluation criteria been achieved?

Yes No

Note: If no, the points below are not available and the assessment issue cannot be achieved.



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	ign, did the project team liaise and collaborate with representative stakeholders to identify and consider ecological ate to the scale and type of development) for the project?
Yes No	
If yes, please provide a	brief statement explaining how this was done:
	n, appraisal and selection of specific solutions, actions and / or measures at a stage that allowed for the early ect planning decisions?
Yes No	
achieved:	tatement for each action explaining how this was done / each point (applicable for Route 1) in the hierarchy was
Hierarchy of actions	What was done?
Avoid	
Protect	
If yes, please provide a	brief statement explaining how this was done:
Were any sections or its	
the project?	ems listed in the methodology corresponding to this point deemed not appropriate due to the nature and scale c











Which sections or items	Why not appropriate					
	,					
	d set of criteria is applicable, were the wider site sustainability-related activities and					
the potential for ecosystem service related benefits	s also considered when determining the ecological outcome for the site?					
es No						
f yes, please provide a brief statement explaining how						
	withis was done:					
	v this was done:					
r yes, please provide a brief statement explaining nov	v this was done:					
r yes, please provide a brief statement explaining nov	v this was done:					
r yes, please provide a brief statement explaining nov	v this was done:					
r yes, piease provide a brief statement explaining nov	v this was done:					
r yes, please provide a brief statement explaining flov	v this was done:					
Vere any sections or items listed in the methodology	v this was done: corresponding to this point deemed not appropriate due to the nature and scale of					
Were any sections or items listed in the methodology he project?						
Were any sections or items listed in the methodology						
Were any sections or items listed in the methodology he project?	corresponding to this point deemed not appropriate due to the nature and scale of					
Nere any sections or items listed in the methodology he project?	corresponding to this point deemed not appropriate due to the nature and scale of					
Were any sections or items listed in the methodology the project? Yes No If yes please list these and provide a brief statement e	corresponding to this point deemed not appropriate due to the nature and scale of explaining why they were deemed not appropriate:					
Were any sections or items listed in the methodology the project? Yes No If yes please list these and provide a brief statement e	corresponding to this point deemed not appropriate due to the nature and scale of explaining why they were deemed not appropriate:					
Were any sections or items listed in the methodology the project? Yes No If yes please list these and provide a brief statement e	corresponding to this point deemed not appropriate due to the nature and scale of explaining why they were deemed not appropriate:					









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Evidence is required to support the above statements and confirm compliance with this assessment issue. See the beginning of this GN for guidance about evidence types acceptable to submit.

Please record the project specific information relevant for demonstrating compliance with this assessment issue. Include an appropriate reference, such as ID number or document title, for each document:

Description	Reference or Title
L	

Assessment Issue: Managing negative impacts on ecology

Prerequisite

Yes

1. Has the following been achieved?

No

- a. The Identifying and understanding the risks and opportunities for the project or site assessment issue?
- b. Has the client or designated representative (e.g. contractor etc.) confirmed that compliance is monitored against all relevant UK and EU or international legislation relating to the ecology of the site?

lf y	f yes, please provide details on all relevant current EU and UK legislation that relates to the site:							











Planning	, liaison, implementation, data
	oles and responsibilities clearly defined, allocated and implemented to support successful delivery of project outcomes at an early h stage to the ecological outcomes on the site by influencing the project brief and concept design stages?
Yes	No
If yes, plea	ase provide a brief statement explaining how this was done:
2 14/	
3. Were s	site preparation and construction works planned for and implemented at an early project stage to optimise benefits and outputs?
Yes	No
If yes, plea	ase provide a brief statement explaining how this was done:
	e project team liaise and collaborate with representative stakeholders, taking into consideration data collated and shared, nent solutions, and measures selected, during site preparation and construction works?
Yes	No
If yes, plea	ase list these and provide a brief statement explaining how this was achieved









Managing negative impacts of the site / project			
5. Were negative impacts from site preparation and construction work methodology for the relevant assessment scheme via one of the fol	9	t out within the	
Avoidance of negative impacts on habitats and features of ecological v	alue on the site.	Yes	No
or			
Avoidance of negative impacts, protect habitats and features of ecolog with best practice guidelines during development works.	ical value from damage in accordance	Yes	No
For the option selected, please provide a brief statement explaining ho	w this was done;		
Were any sections or items listed in the methodology corresponding to the project?	this point deemed not appropriate due	to the nature and :	scale of
Yes No			
If yes please list these and provide a brief statement explaining why the	ey were deemed not appropriate:		
Which sections or items	Why not appropriate		







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FVIC	lence	sub	miss	เเดท

Evidence is required to support the above statements and confirm compliance with this assessment issue. See the beginning of this GN for guidance about evidence types acceptable to submit.

Please record the project specific information relevant for demonstrating compliance with this assessment issue. Include an appropriate reference, such as ID number or document title, for each document:

Description	Reference or Title
Assessment issue: Change and Enhancement of Ed	ological Value Assessment Issue
Project / site overview	ological value / 33c33mene 133ac
 Please provide a brief description of the site being de 	veloped making clear features of the site which can be classified as linear (foliag
 Please provide a brief description of the site being de and watercourse) and area based habitats. 	veloped making clear features of the site which can be classified as linear (foliag
	veloped making clear features of the site which can be classified as linear (foliag
	veloped making clear features of the site which can be classified as linear (foliag
	veloped making clear features of the site which can be classified as linear (foliag
	veloped making clear features of the site which can be classified as linear (foliag
and watercourse) and area based habitats.	veloped making clear features of the site which can be classified as linear (foliag
and watercourse) and area based habitats. Prerequisite	veloped making clear features of the site which can be classified as linear (foliag
and watercourse) and area based habitats. Prerequisite	
and watercourse) and area based habitats. Prerequisite 1. Has the following been achieved? a. The Managing the negative impacts on ecology si	re "assessment issue"? ntractor etc.) confirmed that compliance is monitored against all relevant UK an
Prerequisite 1. Has the following been achieved? a. The Managing the negative impacts on ecology si b. Has the client or designated representative (e.g. cone) EU or international legislation relating to the ecological	re "assessment issue"? ntractor etc.) confirmed that compliance is monitored against all relevant UK an
Prerequisite 1. Has the following been achieved? a. The Managing the negative impacts on ecology si b. Has the client or designated representative (e.g. concept) EU or international legislation relating to the ecology	ne "assessment issue"? Intractor etc.) confirmed that compliance is monitored against all relevant UK and By of the site?
Prerequisite 1. Has the following been achieved? a. The Managing the negative impacts on ecology si b. Has the client or designated representative (e.g. co	ne "assessment issue"? Intractor etc.) confirmed that compliance is monitored against all relevant UK and By of the site?
Prerequisite 1. Has the following been achieved? a. The Managing the negative impacts on ecology si b. Has the client or designated representative (e.g. concept) EU or international legislation relating to the ecology	ne "assessment issue"? Intractor etc.) confirmed that compliance is monitored against all relevant UK and By of the site?











Change and enhancement of ecolo	у
	borating with representative stakeholders and taking into consideration data collated and shared, ctions and / or measures which enhance the site?
Yes No	
Were the solutions, actions and / or me specialist input and guidance?	sures adopted based on recommendations from recognised 'local' ecological expertise and
Yes No	
Please briefly summarise the recommer	lations made and solutions, actions and / or measures implemented.
guidance about evidence types accepta Please record the project specific inform reference, such as ID number or docum	tion relevant for demonstrating compliance with this assessment issue. Include an appropriate nt title, for each document:
Description	Reference or Title









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Assessment Issue: Long Term Ecology Management and Maintenance

The layout of assessment content varies depending on the scheme therefore this part of the GN captures the key issues assessed and supports the inclusion of information relevant to demonstrate compliance against this issue.

Pre requisite

- 1. Has the following been achieved?

1. This the following been defliced:	
a. The Change and Enhancement of Ecological Value "assessment issue"?	
b. Has the client or designated representative (e.g. contractor etc.) confirmed that compliance is monitored against all relevant UF	(and
EU or international legislation relating to the ecology of the site?	
Yes No	
If we place provide details on all valouant surrent LIV and ELL logislation that valetos to the site.	
If yes, please provide details on all relevant current UK and EU legislation that relates to the site:	
Planning, liaison, data	
2. Did the project team liaise and collaborate with representative stakeholders, taking into consideration data collated and shared, o	n
solutions and measures implemented to:	
o monitor and review implementation and the effectiveness	
o develop and review management and maintenance solutions, actions or measures.	
o develop and review management and maintenance solutions, actions of measures.	
Yes No	
If yes, please list these and provide a brief statement explaining how this was achieved	
Monitoring and reporting, management and maintenance	
3. Taking into consideration the assessment criteria in the scheme technical manual which the project is being assessed against plea	ise:
o summarise the monitoring and reporting arrangements / actions in place for the project / site	
o show how these demonstrate compliance against the criteria	
o include justifications about why these were deemed appropriate to the nature and scale of the project	



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5. Was the the first Yes Were any so the natures If yes pleas	Indecology management plan (or similar) Indecology management plan, or similar, developed in accordance with BS 42020:2013 covering as a minimum years after project completion? No No No In the criteria or methodology relating to the scope and content of the plan deemed not appropriate of a scale of the project? No It these and provide a brief statement explaining why they were deemed not appropriate: Why not appropriate
5. Was the the first Yes Were any so the natu	ndscape and ecology management plan, or similar, developed in accordance with BS 42020:2013 covering as a minimum years after project completion? No ons or items listed in the criteria or methodology relating to the scope and content of the plan deemed not appropriate conditions are the project? No
5. Was the the first Yes Were any s	ndscape and ecology management plan, or similar, developed in accordance with BS 42020:2013 covering as a minimum years after project completion? No ons or items listed in the criteria or methodology relating to the scope and content of the plan deemed not appropriate conditions are scaled of the project?
5. Was the the first es	ndscape and ecology management plan, or similar, developed in accordance with BS 42020:2013 covering as a minimum years after project completion? No ons or items listed in the criteria or methodology relating to the scope and content of the plan deemed not appropriate of
5. Was the the first	ndscape and ecology management plan, or similar, developed in accordance with BS 42020:2013 covering as a minimum years after project completion?
5. Was the	ndscape and ecology management plan, or similar, developed in accordance with BS 42020:2013 covering as a minimum
.andscap	nd ecology management plan (or similar)
	he tenant or building owner information supplied, has a section been included on Ecology and Biodiversity to inform the ccupant of local ecological features, value and biodiversity on or near the site and any related management requirements?
o inclu	ustifications about why these were deemed appropriate to the nature and scale of the project
o shov	se the management and maintenance arrangements / actions in place for the project / site ow these demonstrate compliance against the criteria
o sumi	consideration the assessment criteria in the scheme technical manual which the project is being assessed against please:











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Evidence submission

Evidence is required to support the above statements and confirm compliance with this assessment issue. See the beginning of this GN for guidance about evidence types acceptable to submit.

Please record the project specific information relevant for demonstrating compliance with this assessment issue. Include an appropriate reference, such as ID number or document title, for each document:

Description	Reference or Title	









Section C2: Complete For Route 2 Assessments
Assessment Issue: Identifying and Understanding the Risks and Opportunities for the Project or Site
Prerequisite
1. Has the client or designated representative (e.g. contractor etc.) confirmed that compliance is monitored against all relevant UK and E or International legislation relating to the ecology of the site?
Yes No
If yes, please provide details on all relevant current EU and UK legislation that relates to the site:
Survey and Evaluation
2. Was an appropriate individual (s) appointed at a project stage that ensured early involvement in site configuration and, where necessary, could influence strategic planning decisions?
Yes No
If yes, briefly describe the role and relevance of the individual (s) to the project and project stage in meeting the above point.
3. Prior to the completion of the preparation and brief stage, was an appropriate level of survey and evaluation carried out to determine the ecological baseline of site taking into account the zone of influence to establish:
Current and potential ecological value and condition of the site, and related areas within the zone of influence.
Direct and indirect risks to current ecological value
• Capacity and feasibility for enhancement of the ecological value of the site and, where relevant, areas within the zone of influence
Yes No
If yes, please provide a brief statement explaining how this was done:











Yes No	
If yes please list these and provide a brief statemen	at explaining why they were deemed not appropriate:
Which sections or items	Why not appropriate
1	
	team to inform the site preparation, design or construction works?
Yes No	
If yes, please provide a brief statement explaining h	now this was done:
Determining the ecological outcomes for the	sita
5. Have the survey and evaluation criteria been ac	
5. Have the survey and evaluation criteria been ac	hieved?
5. Have the survey and evaluation criteria been ac	hieved?
5. Have the survey and evaluation criteria been ac Yes No Note: If no, the points below are not available and	hieved? If the assessment issue cannot be achieved.
5. Have the survey and evaluation criteria been ac Yes No Note: If no, the points below are not available and	hieved? If the assessment issue cannot be achieved. aise and collaborate with representative stakeholders to identify and consider ecological
 5. Have the survey and evaluation criteria been actives No Note: If no, the points below are not available and 6. During Concept Design, did the project team lia outcomes (appropriate to the scale and type of 	hieved? If the assessment issue cannot be achieved. aise and collaborate with representative stakeholders to identify and consider ecological
Note: If no, the points below are not available and 6. During Concept Design, did the project team lia outcomes (appropriate to the scale and type of	the assessment issue cannot be achieved. Sise and collaborate with representative stakeholders to identify and consider ecological fidevelopment) for the project?











Was the identification, appraise influence of key project planni		, actions and / or measures at a stage that allowed for the early
Yes No		
a. avoidanceb. protectionc. reduction or limitation of ned. on site compensation and,	vith the following hierarchy of action gative impacts he capacity and feasibility within the	
Yes No		
Please provide a brief statement fo	or each action explaining how this v	vas done / each point in the hierarchy was achieved:
Hierarchy of actions	What was done?	
Avoid,		
Protect		
Reduce/limit negative impacts		
On-site compensation		
Enhancement		
the project? Yes No		to this point deemed not appropriate due to the nature and scale of
If yes please list these and provide	a brief statement explaining why t	hey were deemed not appropriate:
Which sections or items		Why not appropriate









ling to this point deemed not appropriate due to the nature and scale
they were deemed not appropriate:
Why not appropriate
a is applicable, were the wider site sustainability-related activities and ed when determining the ecological outcome for the site?
to this point deemed not appropriate due to the nature and scale of
they were deemed not appropriate:
Why not appropriate
t











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Evidence submission

Evidence is required to support the above statements and confirm compliance with this assessment issue. See the beginning of this GN for guidance about evidence types acceptable to submit.

Please record the project specific information relevant for demonstrating compliance with this assessment issue. Include an appropriate reference, such as ID number or document title, for each document:

Description	Reference or Title
Assessment Issue: Managing Negative Impacts on Ecology	
Prerequisite	
1. Has the following been achieved?	
 a. The Identifying and understanding the risks and opportunities for b. Has the client or designated representative (e.g. contractor etc.) EU or International legislation relating to the ecology of the site? 	confirmed that compliance is monitored against all relevant UK and
Yes No	
If yes, please provide details on all relevant current EU and UK legislation	on that relates to the site:











Planning	liaison, implementation, data
	oles and responsibilities clearly defined, allocated and implemented to support successful delivery of project outcomes at an early I stage to the ecological outcomes on the site by influencing the project brief and concept design stages?
Yes	No
If yes, plea	se provide a brief statement explaining how this was done:
3. Were si	te preparation and construction works planned for and implemented at an early project stage to optimise benefits and outputs?
Yes	No
If yes, plea	se provide a brief statement explaining how this was done:
	project team liaise and collaborate with representative stakeholders, taking into consideration data collated and shared, ent solutions, and measures selected, during site preparation and construction works?
Yes	No
If yes, plea	se list these and provide a brief statement explaining how this was achieved











Managing negative impacts of the site / project					
5. Were negative impacts from site preparation and construction works managed according to the hierarchy set out within the methodology for the relevant assessment scheme via one of the following?					
No overall loss of ecological value has occurred	Yes	No			
or					
The loss of ecological value has been limited as far as possible	Yes	No			
For the option selected, please provide a brief statement explaining h	ow this was done;				
Were any sections or items listed in the methodology corresponding the project?	Were any sections or items listed in the methodology corresponding to this point deemed not appropriate due to the nature and scale of the project?				
Yes No					
If yes please list these and provide a brief statement explaining why they were deemed not appropriate:					
Which sections or items	Why not appro	ppriate			







Reference or Title

Guidance Note GN40

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Description

Evidence is required to support the above statements and confirm compliance with this assessment issue. See the beginning of this GN for guidance about evidence types acceptable to submit.

Please record the project specific information relevant for demonstrating compliance with this assessment issue. Include an appropriate reference, such as ID number or document title, for each document:

Assessment issue: Change and Enhancement of Ecological Val	ue Assessment Issue					
Project / site overview						
1. Please provide a brief description of the site being developed making clear features of the site which can be classified as linear (foliage and watercourse) and area-based habitats.						
2. Which change in ecological value methodology has been used for	2. Which change in ecological value methodology has been used for the site?					
Full Simplified						
Where the simplified route was used please provide a brief explanation of why, including any assumptions made.						



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The Farm Environment Plan Manual (Natural England 2010) should generally be used to assess the condition of the habitats but this will not cover all habitat types and so will be inappropriate in some instances. If a different method has been used the SQE should explain why this has been selected and provide evidence to demonstrate its appropriateness for the site in question.
Prerequisite
 3. Has the following been achieved? a. The Managing the negative impacts on ecology site "assessment issue"? b. Has the client or designated representative (e.g. contractor etc.) confirmed that compliance is monitored against all relevant UK and EU or International legislation relating to the ecology of the site?
Yes No If yes, please provide details on all relevant current UK and EU legislation that relates to the site:
4. Where appropriate avoidance, mitigation and/or compensation measures have been agreed with the relevant statutory bodies for any potential impacts on legally protected species, SSSIs, ASSIs (Northern Ireland only), SACs, SPAs, Ramsar sites or irreplaceable habitats please briefly provide information about this.











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Liaison,	imp	lementation	and	data	coll	ation

- 5. Did the project team, liaising and collaborating with representative stakeholders and taking into consideration data collated and shared, implement the solutions, actions and / or measures, selected in a way that enhances ecological value, in the following order:
 - a. On site, and where this is not feasible,
 - b. Off site within the zone of influence?

Yes	No	
Please ind	icate what was pursued	
On site en	hancement	Off-site enhancement

6. Has relevant data been offered to the local environmental records centres nearest to, or relevant for, the site?

Yes No

Enhancement of Ecology

7. Where the calculation of the change in ecological value has been carried out in line with GN 36 - BREEAM, CEEQUAL, HQM Ecology Assessment Issues - Route 2, please confirm the BREEAM, CEEQUAL, HQM Change in Ecological Value tool has been completed and submitted as evidence.

No Yes

- 8. In addition to completion of the calculation tool please confirm the following:
 - Habitat losses and gains relating to legally protected species are included in the calculations and have been clearly identified.

Yes

- Linear and area biodiversity units have been calculated separately and losses and gains calculated for the Pre-Development and Post-Development scenarios are reported separately, i.e. per habitat type.

Yes No

> - All site survey information and the data associated with the calculations are included within evidence provided. This may include date stamped photographs and GIS layers containing data on the habitat types.

Yes No











No

the project?

Yes

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Which sections or items	Why not appropriate
Evidence submission	
Evidence is required to support the above statements guidance about evidence types acceptable to submit.	s and confirm compliance with this assessment issue. See the beginning of this GN fo
Please record the project specific information relevant	for demonstrating compliance with this assessment issue. Include an appropriate
the state of the s	ach document:
reference, such as ID number or document title, for ea	Reference or Title

Were any sections or items listed in the methodology corresponding to this point deemed not appropriate due to the nature and scale of









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Assessment Issue: Long Term Ecology Management and Maintenance

The layout of assessment content varies depending on the scheme therefore this part of the GN captures the key issues assessed and supports the inclusion of information relevant to demonstrate compliance against this issue.

Pre requisite

- 1. Has the following been achieved?

a. IIIC	Change and Enhancement of Ecological Value assessment issue?
	the client or designated representative (e.g. contractor etc.) confirmed that compliance is monitored against all relevant UK and or international legislation relating to the ecology of the site?
Yes	No
If yes, plea	ase provide details on all relevant current UK and EU legislation that relates to the site:
Planning	ı, liaison, data
	e project team liaise and collaborate with representative stakeholders, taking into consideration data collated and shared, on ns and measures implemented to:
o mor	nitor and review implementation and the effectiveness
o deve	elop and review management and maintenance solutions, actions or measures.
Yes	No
If yes, plea	ase list these and provide a brief statement explaining how this was achieved
	ing and reporting, management and maintenance
_	into consideration the assessment criteria in the scheme technical manual which the project is being assessed against please:
	nmarise the monitoring and reporting arrangements / actions in place for the project / site
	who with accordam and trata consoliance against the criteria
o show	w how these demonstrate compliance against the criteria ude justifications about why these were deemed appropriate to the nature and scale of the project











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6. Was the minimum Yes Were any setto the natur Yes	decology management plan (or similar) decology management plan, or similar, developed in accordance with BS 42020:2013(206) covering as a efirst five years after project completion? No ons or items listed in the criteria or methodology relating to the scope and content of the plan deemed not appropriate dund scale of the project? No these and provide a brief statement explaining why they were deemed not appropriate:
6. Was the minimum Yes Were any set to the natur	dscape and ecology management plan, or similar, developed in accordance with BS 42020:2013(206) covering as a e first five years after project completion? No ons or items listed in the criteria or methodology relating to the scope and content of the plan deemed not appropriate dund scale of the project?
6. Was the minimum Yes Were any se	dscape and ecology management plan, or similar, developed in accordance with BS 42020:2013(206) covering as a e first five years after project completion? No ons or items listed in the criteria or methodology relating to the scope and content of the plan deemed not appropriate due
6. Was the minimum	dscape and ecology management plan, or similar, developed in accordance with BS 42020:2013(206) covering as a e first five years after project completion?
6. Was the	dscape and ecology management plan, or similar, developed in accordance with BS 42020:2013(206) covering as a
Landscape	d ecology management plan (or similar)
	ne tenant or building owner information supplied, has a section been included on Ecology and Biodiversity to inform the cupant of local ecological features, value and biodiversity on or near the site and any related management requirements?
	we the management and maintenance arrangements / actions in place for the project / site who these demonstrate compliance against the criteria ustifications about why these were deemed appropriate to the nature and scale of the project
_	consideration the assessment criteria in the scheme technical manual which the project is being assessed against please:



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Evidence submission

Description

Evidence is required to support the above statements and confirm compliance with this assessment issue. See the beginning of this GN for guidance about evidence types acceptable to submit.

Reference or Title

Please record the project specific information relevant for demonstrating compliance with this assessment issue. Include an appropriate reference, such as ID number or document title, for each document:

Section D: Signature of Validation					
I confirm the information provided in this document is truthful and accurate at the time of completion.					
Name of project team member / ecologist:					
Signature of project team member / ecologist:					
signature of project team member / ecologist.	alle				



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Date: