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TREE SURVEY, ARBORICULTURAL IMPACT ASSESSMENT AND TREE PROTECTION PLAN

A report to accompany a planning application for the extension of the lower ground floor and creation of lightwell at the front of 2 Ornan Road, London, NW3 4PT.

Report by

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Prepared on the instructions of Prime Central Properties (Management) Ltd

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MDA reference E49





1 | E49- 2 Ornan Road NW3 4PT

Contents

Part 1	Introduction						
Part 2	Tree survey	Page 4					
Part 3	Soil assessment	Page 5					
Part 4	Arboricultural impact assessment	Page 6					
Part 5	Arboricultural method statement and tree protection plan	Page 9					
Part 6	Conclusions	Page 14					
There are six appendices							
MD1	Tree survey schedule (BS5837:2012)	Page 15					
MD2	Tree constraints plan (lower ground floor)	Page 16					
MD3	Schedule of root protection areas	Page 17					
MD4	Tree protection plan (basement floors)	Page 18					
MD5	Induction sheet	Page 19					
MD6	Qualifications and experience	Page 20					

1. Introduction

- 1.1 Martin Dobson Associates Ltd was instructed by Prime Central Properties (Management) Ltd on 27th February 2014 to carry out a survey of trees at 2 Ornan Road, London, NW3 4PT. The aim of the survey was to provide information that would assist in creating an appropriate design for proposed development taking into account the presence of trees on or near to the site.
- 1.2 The British Standard 5837: 2012 Trees in relation to design, demolition and construction Recommendations provides a framework for considering trees in the planning process. It gives guidance on categorising the qualities of trees in order to enable decisions to be made as to which trees are appropriate for retention within a development. It then advises on options for protecting trees to be retained during the development (at all stages including demolition, construction and hard landscaping), and the means of incorporating trees into the developed landscape.
- 1.3 2 Ornan court is within the Fitzjohns Conservation Area and this means that all trees with a trunk diameter of 75 mm or more benefit from statutory protection and no work can be carried out to them (including cutting roots or branches or felling) without statutory notification to the local planning authority.
- 1.4 Four trees adjacent to the proposed development were surveyed. One of them is considered to be a high value Category A tree (horsechestnut T1), one is a moderate value Category B tree (ash T3), one is a low value category C tree (ash T4) and one is dying and is regarded as Category U (horsechestnut T2) and unsuitable for retention. The horsechestnut T2 is infected with *Pseudomonas syringae* and as a consequence one of the two co-dominant stems is dead. It is likely that the remainder of the tree will suffer in a similar way and therefore the tree has a very short safe life expectancy. A Conservation Area notification has been send to the council informing of the intention to fell.
- 1.5 It is proposed that the three Category A C trees will be retained and development proposals have been designed to minimise any impact on the trees to be retained. A replacement tree will be planted once T2 has been felled.
- 1.6 The retained trees will be protected during development. Details of tree protection are contained in this report.
- 1.7 I have been notified of objections to the application raised by a number of parties and comment on those objections in the Arboricultural Impact Assessment.

2. Tree survey

- 2.1 The tree survey was carried out by Dr Martin Dobson on 1 April 2014. The site was revisited in April 2016 and again in May 2017 to dig a trial trench to determine where the roots of T3 are growing in relation to the proposed lightwell.
- 2.2 Appended at **MD1** is the tree survey schedule which provides details of the four trees present within or immediately adjacent to the property.
- 2.3 The site survey drawing appended at **MD2** shows the positions of the trees surveyed and gives a reasonable indication of their comparative branch spreads. The drawing has been colour coded as follows:

A trees (high quality and value, minimum 40 years useful life)	LIGHT GREEN
B trees (moderate quality and value, minimum 20 years useful life)	MID BLUE
C trees (low quality and value, minimum 10 years useful life)	GREY

U trees (unsuitable or dead/dying/dangerous, less than10 years useful life) RED

- 2.4 It should be understood that no individual safety inspection has been carried out on any tree. Similarly, any suggestions for tree work should not be taken as a specification for tree works.
- 2.5 Adequate protection, both above and below ground, is essential for trees that are to be retained as part of a development. The British Standard BS5837: 2012 *Trees in Relation to Construction Recommendations* advises that there should be a root protection area (RPA) around trees which is kept free of construction activities by means of an exclusion zone enforced by protective fencing and/or ground protection. The RPA is calculated as the area equivalent to a circle with a radius of 12 times the trunk diameter at a height of 1.5 m above ground level. Based on the tree survey data root protection areas (and radial distances from the trunk to be protected) have been calculated and these are shown as circles around the trees on the tree constraints plan at **MD2** and are tabulated at **MD3**.

3. Soil assessment

- 3.1 BS5837: 2012 advises that soil properties should be considered as part of a tree survey report. This is necessary because trees can cause damage to structures founded on soils that shrink and swell with changes in moisture content (principally clays). Such movement is exacerbated by the influence of trees and therefore if a shrinkable soil is suspected foundations should be deigned to extend below the likely zone of seasonal moisture change.
- 3.2 The British Geological Survey 1: 50,000 scale map indicates that the underlying geology of the site is shrinkable (clay) London clay formation Clay, Silt and Sand. This area typically has a high shrinkage potential, i.e. plasticity index in excess of 40. Foundations must therefore be designed by an engineer with reference to the National House Building Council's (NHBC) Standards Chapter 4.2 *Building near trees* NHBC separates trees into three water demand categories. Ash and horse chestnut are regarded as "moderate".

Figure 1. British Geological Survey 1: 50,000 scale showing that the site is underlain by the London clay formation – Clay, Silt and Sand.



4. Arboricultural impact assessment

- 4.1 The purpose of an arboricultural impact assessment (AIA) is to evaluate the direct and indirect effects of proposed development on trees and, where necessary, to consider appropriate mitigation. It should set out which, if any, trees are to be removed to facilitate the development and should consider the possible effects on retained trees of potentially damaging activities on the site (for example changes in ground level and installation of below ground services). Requirements for access around trees should be considered and potential conflicts identified, for example, where branches overhang the development area and may require pruning.
- 4.2 Mitigation for any issues identified should be proposed and addressed in the arboricultural method statement (AMS).

Tree removals

4.3 The proposed development does not require the removal of any trees and it is considered that there is sufficient space between trees and the development that trees will not be harmed by the works. However, as set out above, the horsechestnut T2 is dying (Figure 2) and it is intended to remove it and mitigate the loss by planting a suitable replacement at a height of no less than 4 m.

Figure 2. Dead bark and girdled trunk resulting from infection with bacterial canker (*Pseudomonas syringae*).



Tree pruning

4.4 No pruning work is required for the development to take place.

Investigation of roots

- 4.5 An objection to the development dated 20th March 2017 was raised by Boiset Waters Cohen Partnership on behalf of Rosslyn Court Management and speaks of a 'threat to the prominent
- 6 | E49- 2 Ornan Road NW3 4PT

tree'. The nature of the threat was not identified but the tree referered to is the category B ash T3. The owner of 1a Rosslyn Court considered that permitting the application would result in 'disturbance of tree roots'. Similar concerns were expressed by the owner of 7 Rosslyn Court. A letter from Line Planning dated 20th March 2017 noted the presence of the boundry retaining wall and considered that this would influence rooting pattrn of T3. Advice from the local authority received on 30th March 2017 also suggested that attention should be given to the likelihood of an asymmetrical root system for T3.

4.6 A trial dig was therefore conducted on 11th May 2017 in order to determine where the roots of the ash T3 are in relation to the proposed development. The trench was located between T3 and the front wall of the property and was 1.35 m away from the front bay. The trench had a width of 280 mm and was hand dug to a depth of 400 – 760 mm. Care was taken to preserve all roots with a diameter of 25 mm or more. The British Standard 5837 advises that:

7.2.3 Roots smaller than 25 mm diameter may be pruned back, making a clean cut with a suitable sharp tool (e.g. bypass secateurs or handsaw), except where they occur in clumps. Roots occurring in clumps or of 25 mm diameter and over should be severed only following consultation with an arboriculturist, as such roots might be essential to the tree's health and stability.

4.7 Three roots were encountered, the largest of which was 75 mm in diameter (Figure 3). This root entered the trench at a depth of 460 mm at about 1.4 m from the boundary with Rosslyn Court and grew upwards to a depth of 230 mm before growing downwards to a depth of 760 mm where it curved away from the building. The exposed length of root was approximately 1.4 m long. Two additional roots crossed the trench, one 40 mm in diameter at about 1.6 m from the boundary and one 30 mm in diameter at about 2.5 m from the boundary. The 40 mm diameter root was located 280 mm below ground level and the 30 mm diameter one was at 150 mm below ground level.

Figure 3. Photographs illustrating the trial trench and the roots encountered.



7 | E49- 2 Ornan Road NW3 4PT

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- 4.8 The proposed development would involve cutting of the 40 mm and 30 mm diameter roots. However, the more significant 75 mm diameter is outside of the proposed development and would not be affected. It is considered that the severance of the two smaller roots would have no undue impact on the health and stability of the ash tree. My experience over many years is that cutting of a few relatively small roots has no recognisable impact on the health of trees such as ash, which are robust. New roots are able to grow from just behind the cut ends and can recolonise the soil. Of much greater impact to the health of trees is soil compaction which can permanently make soil hostile to root growth. It is considered that the proposed lightwell does not represent a 'significant threat' to the health of T3 and that the tree will continue to thrive during and after the proposed works.
- 4.9 In recognition of the likelihood that few roots from the ash T3 will grow under the boundary retaining wall it is now proposed that the whole of the front garden which is not subject to development will be protected by fencing or ground protection. The tree protection plan at **MD4** indicates that 22.4 m² of the RPA that was previously shown under the pavement is now included in the front garden. The whole RPA can therefore be accommodated within the front gardens of the properties in Ornan Road. A similar proposal is shown for horsechestnut T1 where an additional protected area of 57.5 m² has been provided in the side garden facing Haverstock Hill.

Tree protection

- 4.10 Trees T1, T3 and T4 will be protected from mechanical damage to their trunk, branches and roots by the installation of 2 m high protective fencing to create a construction exclusion zone (CEZ) to exclude site workers, machinery and storage of materials. There is sufficient space outside the CEZ for T1 and T2 for all construction activities to take place, including piling, without creating pressure on tree protection.
- 4.11 To allow access for construction workers along the eastern side of the property ground protection will be installed to protect the roots of T1. This will prevent any soil compaction and also prevent roots being damaged or severed during construction.
- 4.12 T1 has part of RPA under the existing building this is less than 2% of the total RPA. It is deemed that this incursion into the RPA is acceptable as there will be few if any roots below existing foundations.
- 4.13 As noted above a section of the RPA of T3 lies within the proposed light well. This incursion is less than 3% of the total RPA of T3 and will not cause any material harm to the tree which has unrestricted areas for growth to the east and west. This area of incursion will be excavated using hand tools only and the two 30 40 mm diameter roots that have been identified in the trial dig will be carefully pruned back by the project arboriculturist.
- 4.14 To allow access for construction workers around the building part of the RPA will be protected by ground protection with the remainder being behind the CEZ fence.

Working space

4.15 The proposed construction works are achievable without causing damage to trees. However, space on the site for machinery, construction materials and spoil are limited and therefore careful consideration has been given to phasing of works in the Construction Management Plan.

5. Arboricultural method statement and tree protection plan

- 5.1 Trees can very easily be damaged during construction activities through their branches being broken by construction traffic passing close to the canopy or by root severance during the digging of foundation or service trenches. The majority of roots are to be found in the upper 600 mm of soil and so even relatively shallow trenches can sever a significant number of roots growing across the direction of the trench. Similarly, the diameter of tree roots tapers sharply within a few metres of the trunk of a tree, so that what might seem to an uninitiated site worker to be an insignificant root (perhaps only a few centimetres in diameter) may actually be highly important.
- 5.2 Tree roots can also be damaged indirectly, often inadvertently, through soil compaction, which disrupts soil structure and can lead to root death through the development of anaerobic soil conditions. Spillage of toxic materials (e.g. oil or diesel) can also result in root damage and ultimately the death of a tree. Protection of the soil around trees by means of a construction exclusion zone (CEZ) is therefore vitally important in order to preserve roots undamaged.

Fencing and ground protection

5.3 Tree protection will comprise of 2 m tall fencing installed in the positions shown at MD4 before the building is stripped out or materials are delivered to site or construction commences. The fencing will consist of a scaffold framework, well braced to resist impacts, with vertical tubes spaced at a maximum interval of 3 m (Figure 4). Onto this, weld mesh panels or 2 m high shuttering board will be securely fixed with wire or scaffold clamps. Weld mesh panels alone on unsecured rubber or concrete feet will <u>not</u> be used as these are not resistant to impact and are too easily removed by site operatives. An alternative system of bracing which does not require a scaffold framework is shown in Figure 5.



Figure 4. Diagram to illustrate design of protective fencing with scaffolding anchored into the ground



Figure 5. Diagram to illustrate alternative design of protective fencing

Figure 6. Photograph to illustrate installed protective fencing



10 | E49- 2 Ornan Road NW3 4PT

5.4 High visibility all weather notices at a size no less than A3 will be securely attached to each panel of the barrier around the CEZ with wording as shown in Figure 7.

Figure 7. Wording to be included in high visibility all-weather sign attached to protective fencing



5.5 In order to allow access for construction workers it is proposed that part of the RPA will be protected by ground protection. This area, shaded purple on the tree protection plans (**MD4**), will be covered by heavy duty plywood boards laid over a 100 mm thickness of a compressible material such as woodchips laid onto the existing surface or, if bare earth, onto a geotextile such as Terram (Figures 8 and 9). Once laid the plywood sheeting will be secured in place by wooden battens screwed into adjacent sheets.

Figure 8. Specification for ground protection





Figure 9. Plywood sheeting used as ground protection.

Arboricultural supervision

- 5.6 Subject to contractual arrangements being in place Martin Dobson Associates Ltd. will be the project arboricultural consultants overseeing tree protection for the duration of the construction/landscaping contract(s). The appointed project arboriculturists will be consulted on any issues that may arise concerning trees and will visit the site as often as necessary to ensure that trees are protected and/or at the following key stages:
 - Prior to contractors commencing works on site in order to meet with the supervising architect and/or the contractor's nominated site manager to ensure that the principles of tree protection are understood and the procedure, timescale and materials for installation of tree protection are agreed;
 - Following installation of tree protection but prior to any works commencing on site to confirm that it is fit for purpose;
 - During excavation near to T3 to supervise the cutting of roots;
 - At any time that there are potential conflicts with tree protection and/or at monthly intervals;
 - At the completion of construction works to confirm that tree protection may be removed to enable final landscaping;
- 5.7 A pre-start meeting will be held on site with the project arboriculturist and the contractor's representative(s) so that the precise details of the schedule of works together with details of installation of tree protection can be agreed and personnel induction carried out. The site manager/foreman will be fully briefed on tree protection measures and procedures before any workers or sub-contractors are permitted onto the site. Following induction, a copy of the Induction Sheet (MD5) will be provided to and be signed by the site manager/foreman in recognition of acceptance of their role in enforcing day to day tree protection.
- 5.8 Martin Dobson Associates will be notified at least five days in advance of any change of site manager and will arrange for induction to take place together with signing of the Induction Sheet.
- 5.9 All contractors involved in the project have a duty to comply with all the specified tree protection measures and all workers will be provided with induction by the site manager/foreman and be required to sign an Induction Sheet confirming they have understood the protection measures. Signed sheets will be kept on site for inspection.
- 5.10 No enabling works will take place until after the meeting has been held and tree protection has been installed, inspected and approved as fit for purpose.
- 5.11 Fencing will not be removed under any circumstances during construction unless with the express approval of the local authority. If in any doubt the site manager must contact the nominated arboricultural consultant.

Burning of waste

5.12 No fires will be lit on site within 3 m of root protection areas due to the danger of scorching of leaves and branches of overhanging trees.

Space for machinery, parking of vehicles, storage of materials and site huts

- 5.13 All machinery required on site will operate outside of root protection areas or from the ground protection. Site huts will be located outside root protection areas or within the footprint of the building.
- 5.14 Delivery vehicles will park in the drive or off site and storage of materials will be outside root protection areas. At each delivery, where materials are unloaded by crane, a banksman will be present to ensure that sufficient clearance is allowed for to avoid conflict with branches of T1 and T3. Any incidents must be reported to the project arboriculturist.

Services

5.15 Existing services and drainage runs will be used. If new connections are required, the project arboriculturist must be consulted in order to approve them and supervise any digging that may be required to ensure that woody roots are not harmed.

Landscaping

5.16 Once construction has demonstrably finished (to the satisfaction of the project arboriculturist) fencing may be removed in order to allow final landscaping to be undertaken. Landscaping will not involve any changes in soil levels, digging of any trenches or construction of masonry or retaining walls within root protection areas.

6. Conclusions

- 6.1 A BS5837: 2012 survey of four trees has been carried out on land at Ornan Court, 2 Ornan Road, London, NW3 4PT. One is considered to be Category A and of high value (T1 horsechestnut), one is Category B and of moderate value (T3 ash) and one is Category C and of a low value (ash T4).
- 6.2 The mature horsechestnut T2 is suffering from bacterial canker caused by *Pseudomonas syringae* which has resulted in the death of one of the two co-dominant stems. Removal of the dead stem will leave the tree looking unbalanced which will not enhance the appearance of the Conservation Area. Further, it is almost inevitable that the remainder of the tree will succumb to the bacterial infection within the next ten years. It is considered therefore that there are good grounds for the tree to be felled. A replacement will be planted with a height of no less than 4 m.
- 6.3 The trees being retained will be protected during development and methods for ensuring their protection have been described.
- 6.4 It is considered that the proposed development will pose no threat to trees to be retained and is sympathetic to the character of the Conservation Area.

APPENDIX MD1 Tree survey schedule (BS5837: 2012)

Tree	Species	Height	Trunk	Crown	Height of	Age	Physiological	Structural	Useful	Management notes	BS5837
NO.		(m)	(mm)	(m)	clearance (m)	Class	condition	condition	ine (y)		Grade
T1	Horsechestnut	12.0	732	N 5.0 S 7.0 E 6.0 W 6.0	2.0	MA	Good	Main stem reduced in the past to approx 7m.	40+	The tree is immediately adjacent to a retaining wall and is causing progressive damage to it.	A
T2	Horsechestnut	12.0	732 (at root collar)	N 4.5 S 6.0 E 4.0 W 4.5	2.0	MA	Good	Multi-stemmed tree previously partially reduced.	<10	Two co-dominant stems below a height of 1.5 m. One stem completely dead due to bacterial canker. Fell	U
Т3	Ash	12.0	413	N 3.0 S 4.5 E 6.0 W 5.0	7 m in direction of house. 5 m over Ornan Road	MA	Good	Single stem tree with most low branches removed on house side.	40+	No work considered necessary	В
T4	Ash	10.0	191	N 3.4 S 2.0 E 2.0 W 3.7	4.0	Y	Good	Good	40+	No work considered necessary	C

¹ Y = Young (<1/3 life expectancy). MA = Mid aged (1/3 - 2/3 life expectancy). M = Mature (>2/3 life expectancy). OM = Over mature (reaching end of safe useful life)

APPENDIX MD2



Tree constraints plan showing existing plot layout with tree numbers, BS5837: 2012 colour codes (A – Green, B – Blue, C – Grey, U - Red) and root protection areas (dashed circles)

APPENDIX MD3 BS5837 schedule of protection areas

Tree No.	Species	Trunk diameter (mm)	BS5837: 2012 Root protection area, RPA, (m ²)	BS5837: 2012 Radial protection distance (m)
T1	Horsechestnut	732	242.0	8.78
T2	Horsechestnut	732	165.0	7.32
		(at root collar)		
Т3	Ash	413	77.0	5.00
T4	Ash	191	16.5	2.30

APPENDIX MD4

Tree protection plan showing retained trees, tree numbers and root protection areas (dashed circles). The location of protective fencing is shown as red lines, ground protection as purple shading.



APPENDIX MD5 TREE AWARENESS – SITE INDUCTION SHEET

SITE NAME: Ornan Court, 2 Ornan Road, London, NW3 4PT

Trees are an important part of this development and all trees noted on the Tree Protection Plan are protected by planning conditions and by virtue of being in a Conservation Area. Trees must not be damaged in any way, including indirectly through compaction/contamination of soil, so that they can fully integrate into the finished project and stay healthy well into the future. All persons working on this site have a responsibility to be aware of trees and to abide by tree protection procedures.

How can trees can be damaged?

Above the ground – contacts and impacts with branches and trunk (for example by machine operations: piling rigs, high-sided vehicles, crane use, fixings to trunk, unauthorised cutting back of branches). Make sure there is adequate clearance under the tree canopy and don't stray close to the trunk. Damage to bark allows infections to enter the tree.

Below the ground – roots spread out from the trunk horizontally at shallow depth and are therefore easily damaged. Vehicle and pedestrian movements and storage of materials on unprotected ground causes compaction, especially in wet weather, and must be avoided. Soil stripping during site clearance or landscaping is prohibited in root protection areas. The effects of root damage may take some time to become obvious, but can result in disfiguring dieback of leaves and branches, or even death.

Tree protection procedures

Provided that the simple steps below are followed most tree protection is straightforward:

- Stay out of tree Construction Exclusion Zones (CEZs). These are the areas of ground surrounding retained trees that are protected by barriers and/or ground protection. If you need to go into a CEZ, you must first gain authorisation from the Site Manager.
- No construction activity of any description within CEZs, e.g. soil stripping, cement mixing, services installation, storage of materials etc.
- No fires within 20m of trunk of any retained tree.
- If authorised to work within a CEZ, for example, for installation of an above-ground no-dig driveway you must follow the procedures set out in the **Arboricultural Method Statement.**
- If damage occurs, you must inform the Site Manager who must, in turn, inform the project arboriculturist.

Planning Authority enforcement action needs to be avoided:

- 'Breach of Conditions' notices can prevent a site from being signed-off.
- 'Temporary Stop Notices' halt site operations and result in associated high costs.
- Wilful damage/destruction of TPO/Conservation Area trees can result in company and/or individual prosecutions fines can me anything up to £20,000 (County Court fines are unlimited). Remember that fines may apply to the person committing the offence as well as the site owner and main contractors!

I have received site induction in tree awareness and tree protection procedures

PRINT NAME

SIGN

DATE

APPENDIX MD6

Qualifications and Experience

Dr Martin Dobson has been engaged in research and advisory work on trees since graduating in 1986 with a BSc (Hons) Degree in Biology. Subsequent postgraduate research led to the award of a Doctor of Philosophy (DPhil) Degree in Tree Physiology in 1990.

Postgraduate studies began in 1986 at the University of Ulster and continued in 1987 at the Forestry Commission's Research Station in Hampshire and focussed on the influence of air pollution on trees. Upon completion of this research in 1989 Dr Dobson was employed by the Forestry Commission and worked in both the Tree Pathology and Environmental Research Branches. During the next six years he was responsible for Department of Environment research contracts focussing on air pollution, climate change, de-icing salt damage to trees, woodland establishment on landfills and tree root research. He has authored two books: *De-icing Salt Damage to Trees and Shrubs* and *The Potential for Woodland Establishment on Landfill Sites*. He concluded his time at the Forestry Commission as Project Manager for research into the interaction between trees, roots and clay soils which included laboratory investigations, testing of root barriers and a three-year field-scale monitoring programme investigating the influence of woodland and grassland on the moisture status of clay soils.

In 1995 Martin joined the Arboricultural Advisory and Information Service as a senior Arboricultural Advisor. The AAIS advised the (then) Department of the Environment on matters concerning amenity trees and was the principal source of technical advice and information to the arboricultural profession as well as landscape architects, engineers, the horticultural industry and private individuals. A large proportion of advisory work focussed on issues relating to tree diseases and interactions between trees and buildings.

In 1997 Martin started an arboricultural consultancy practice specialising in subsidence and tree root claims, planning and development, tree safety and disease diagnosis. He was a local authority retained consultant providing expertise on tree protection practice and legislation from 1999 - 2006 and has dealt with several thousand Tree Preservation Order and Conservation Area applications.

He has extensive experience as an Expert Witness in the High Court, County Court and Magistrates Court. Notable recent cases he has been involved in include Robbins v London Borough of Bexley and Khan v London Borough of Harrow and Kane.

From 1995 to 2011 he was an examiner for the Professional Diploma in Arboriculture for the Royal Forestry Society/ABC Awards and he is currently an assessor for the Arboricultural Association Registered Consultant scheme. He has been a guest lecturer for the Middlesex University Countryside Management MSc course and for Portsmouth University. Together with Dr Giles Biddle he has devised and teaches introductory and advanced courses on trees and subsidence and co-presents seminars on trees and climate change with Professor Andy Moffat for the Arboricultural Association.

In addition to over 30 publications in scientific and technical journals he is the author of Arboriculture Research and Information Note 130/95/ARB *Tree Root Systems*, and leading author of:

Driveways Close to Trees. Arboricultural Practice Note 1. AAIS, Farnham. *Trees in Dispute.* Arboricultural Practice Note 3. AAIS, Farnham. *Root Barriers and Building Subsidence.* Arboricultural Practice Note 4. AAIS, Farnham.

He is a Fellow and Registered Consultant of the Arboricultural Association and is a Member by examination of the Expert Witness Institute.