

**Independent Review  
of  
Basement Impact Assessment for  
planning application 2015/1287/P  
at**

**55 Ornan Road  
London  
NW3 4QD**

**for  
London Borough of Camden**

LBH 4338

April 2015

**LBH**  
**WEMBLEY**



**Geotechnical &  
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## Foreword-Guidance Notes

### GENERAL

This report has been prepared for a specific client and to meet a specific brief. The preparation of this report may have been affected by limitations of scope, resources or time scale required by the client. Should any part of this report be relied on by a third party, that party does so wholly at its own risk and LBH WEMBLEY Geotechnical & Environmental disclaims any liability to such parties.

The observations and conclusions described in this report are based solely upon the agreed scope of work. LBH WEMBLEY Geotechnical & Environmental has not performed any observations, investigations, studies or testing not specifically set out in the agreed scope of work and cannot accept any liability for the existence of any condition, the discovery of which would require performance of services beyond the agreed scope of work.

### VALIDITY

Should the purpose for which the report is used, or the proposed use of the site change, this report may no longer be valid and any further use of or reliance upon the report in those circumstances shall be at the client's sole and own risk. The passage of time may result in changes in site conditions, regulatory or other legal provisions, technology or economic conditions which could render the report inaccurate or unreliable. The information and conclusions contained in this report should therefore not be relied upon in the future and any such reliance on the report in the future shall again be at the client's own and sole risk.

### THIRD PARTY INFORMATION

The report may present an opinion on the disposition, configuration and composition of soils, strata and any contamination within or near the site based upon information received from third parties. However, no liability can be accepted for any inaccuracies or omissions in that information.

## 1. Introduction

It is proposed to construct a single level of basement beneath the entire footprint of the existing house.

### 1.1 Brief

LBH WEMBLEY Geotechnical & Environmental have been commissioned to provide an Independent assessment of information submitted against the requirements of LDF policy DP27 (but also including CS5, CS14, CS15, CS17, CS18, DP23, DP24, DP25 and DP26 – as stated at paragraphs 1.5 and 1.6 of CPG4) and with reference to the procedures, processes and recommendations of the Arup Report and CPG4 2013.

### 1.2 Report Structure

This report commences with a description of the LDF policy requirements, and then considers and comments on the submission made and details any concerns in regards to:

1. The level of information provided (including the completeness of the submission and the technical sufficiency of the work carried out)
2. The proposed methodologies in the context of the site and the development proposals
3. The soundness of the evidence presented and the reasonableness of the assessments made.
4. The robustness of the conclusions drawn and the mitigation measures proposed in regard to:
  - a. maintaining the structural stability of the building and any neighbouring properties
  - b. avoiding adversely affecting drainage and run-off or causing other damage to the water environment and
  - c. avoiding cumulative impacts on structural stability or the water environment in the local area

### 1.3 Information Provided

The information studied comprises the following:

1. Basement Impact Assessment by Gabriel GeoConsulting Limited, dated 27<sup>th</sup> March 2015, Ref: 15406/R1
2. Engineering Method Statement by Green Structural Engineering, dated April 2015, Ref: 12716
3. Design & Access Statement by Neale and Norden, dated February 2015, unreferenced
4. Drawings of Existing by Neale & Norden Consultants, dated 30<sup>th</sup> November 2014, Refs: 421/D01, D02, D04
5. Drawings of Proposed by Neale & Norden Consultants, 30<sup>th</sup> November 2014, Refs: 421/D02, D03, D05, D06

## 2. Policy DP27 – Basements and Lightwells

The CPG4 Planning Guidance on Basements and Lightwells refers primarily to Planning Policy DP27 on Basements and Lightwells.

The DP27 Policy reads as follows:

*In determining proposals for basement and other underground development, the Council will require an assessment of the scheme's impact on drainage, flooding, groundwater conditions and structural stability, where appropriate. The Council will only permit basement and other underground development that does not cause harm to the built and natural environment and local amenity and does not result in flooding or ground instability. We will require developers to demonstrate by methodologies appropriate to the site that schemes:*

- a) maintain the structural stability of the building and neighbouring properties;*
- b) avoid adversely affecting drainage and run-off or causing other damage to the water environment;*
- c) avoid cumulative impacts upon structural stability or the water environment in the local area;*

*and we will consider whether schemes:*

- d) harm the amenity of neighbours;*
- e) lead to the loss of open space or trees of townscape or amenity value;*
- f) provide satisfactory landscaping, including adequate soil depth;*
- g) harm the appearance or setting of the property or the established character of the surrounding area; and*
- h) protect important archaeological remains.*

*The Council will not permit basement schemes which include habitable rooms and other sensitive uses in areas prone to flooding. In determining applications for lightwells, the Council will consider whether:*

- i) the architectural character of the building is protected;*
- j) the character and appearance of the surrounding area is harmed; and*
- k) the development results in the loss of more than 50% of the front garden or amenity area.*

In addition to DP27, the CPG4 Guidance on Basements and Lightwells also supports the following Local Development Framework policies:

Core Strategies:

- CS5 Managing the impact of growth and development
- CS14 Promoting high quality places and conserving our heritage
- CS15 Protecting and improving our parks and open spaces & encouraging biodiversity
- CS17 Making Camden a safer place
- CS18 Dealing with our waste and encouraging recycling

Development Policies:

- DP23 Water
- DP24 Securing high quality design
- DP25 Conserving Camden's heritage
- DP26 Managing the impact of development on occupiers and neighbours

This report makes some specific further reference to these policies but relies essentially upon the technical guidance provided by the Council in November 2010 to assist developers to ensure that they are meeting the requirements of DP27, which is known as the Camden Geological, Hydrogeological and Hydrological Study, Guidance for Subterranean Development (CGHHS), and was prepared by Arup.



### 3. Assessment of Adequacy of Information Provided

#### 3.1 Basement Impact Assessment Stages

The methodology described for assessing the impact of a proposed basement with regard to the matters described in DP27 takes the form of a staged approach.

##### 3.1.1 Stage 1: Screening

Screening uses checklists to identify whether there are matters of concern (with regard to hydrogeology, hydrology or ground stability) which should be investigated using a BIA (Section 6.2 and Appendix E of the CGHSS) and is the process for determining whether or not a BIA is required. There are three checklists as follows:

- subterranean (groundwater) flow
- slope stability
- surface flow and flooding

##### 3.1.1.1 Subterranean (Groundwater) Flow

A screening checklist for the impact of the proposed basement on groundwater is included in the BIA (Document 1).

This identifies the following potential issues of concern:

- **The proposed development will result in a change in the area of hard-surfaced/paved areas.**

##### 3.1.1.2 Stability

A screening checklist for the impact of the proposed basement on land stability is included in the BIA (Document 1).

This identifies the following potential issues of concern:

- **London Clay is the shallowest strata at the site.**
- **There is a history of seasonal shrink-swell subsidence in the local area, and/or evidence of such effects at the site.**
- **The site is within 5m of a highway or pedestrian right of way.**
- **The proposed basement will significantly increase the differential depth of foundations relative to the neighbouring properties.**
- **The site is over (or within the exclusion zone of) tunnels, e.g. railway lines.**

##### 3.1.1.3 Surface Flow and Flooding

A screening checklist for the impact of the proposed basement on surface water flow and flooding is included in the BIA (Document 1).

This identifies the following potential issues of concern:

- **The proposed basement development will result in a change in the proportion of hard-surfaced/paved areas.**
- **The site is in an area known to be at risk from surface water flooding, or is it at risk from flooding, for example because the proposed basement is below the static water level of a nearby surface water feature.**

### 3.1.2 Stage 2: Scoping

Where the checklist is answered with a “yes” or “unknown” to any of the questions posed in the flowcharts, these matters are carried forward to the scoping stage of the BIA process.

The scoping produces a statement which defines further the matters of concern identified in the screening stage. This defining should be in terms of ground processes, in order that a site specific BIA can be designed and executed (Section 6.3 of the CGHSS).

Checklists have been provided in the BIA and there is a scoping stage described in the BIA.

The issues identified from the checklists as being of concern have been assigned bold text in the previous sections and are as follows:

- **The proposed development will result in a change in the area of hard-surfaced/paved areas.**

*The guidance advises that the sealing off of the ground surface by pavements and buildings to rainfall will result in decreased recharge to the underlying ground. In areas underlain by an aquifer, this may impact upon the groundwater flow or levels. In areas of non-aquifer (i.e. on the London Clay), this may mean changes in the degree of wetness which in turn may affect stability. The guidance advises that a change in the proportion of hard surfaced or paved areas of a property will affect the way in which rainfall and surface water are transmitted away from a property. This includes changes to the surface water received by the underlying aquifers, adjacent properties and nearby watercourses. Changes could result in decreased flow, which may affect ecosystems or reduce amenity, or increased flow which may additionally increase the risk of flooding.*

- **London Clay is the shallowest strata at the site.**

*The guidance advises that of the at-surface soil strata present in LB Camden, the London Clay is the most prone to seasonal shrink-swell (subsidence and heave).*

- **There is a history of seasonal shrink-swell subsidence in the local area, and/or evidence of such effects at the site.**

*The guidance advises that there are multiple potential impacts depending on the specific setting of the basement development. For example, in terraced properties, the implications of a deepened basement/foundation system on neighbouring properties should be considered.*

- **The site is within 5m of a highway or pedestrian right of way.**

*The guidance advises that excavation for a basement may result in damage to the road, pathway or any underground services buried in trenches beneath the road or pathway.*

- **The proposed basement will significantly increase the differential depth of foundations relative to the neighbouring properties.**

*The guidance advises that excavation for a basement may result in structural damage to neighbouring properties if there is a significant differential depth between adjacent foundations.*

- **The site is over (or within the exclusion zone of) tunnels, e.g. railway lines.**

*The guidance advises that excavation for a basement may result in damage to the tunnel.*

- **The site is in an area known to be at risk from surface water flooding, or is it at risk from flooding, for example because the proposed basement is below the static water level of a nearby surface water feature.**

*The guidance advises that the developer should undertake a Flood Risk Assessment (FRA).*

### 3.1.3 Stage 3: Site Investigation and Study

Site investigation and study is undertaken to establish the baseline conditions. This can be done by utilising existing information and/or by collecting new information (Section 6.4 of the CGHSS).

The site investigation submitted comprised two window sampler boreholes to 5m depth, with a subsequent groundwater monitoring visit.

### 3.1.4 Stage 4: Impact Assessment

Impact assessment is undertaken to determine the impact of the proposed basement on the baseline conditions, taking into account any mitigation measures proposed (Section 6.5 of the CGHSS).

The submitted BIA (Document 1) includes an Impact Assessment stage and the following comments are made:

- **The proposed development will result in a change in the area of hard-surfaced/paved areas.**

*“The slight loss of soft landscaping should be offset (mitigated against) either by permanently removing an equivalent area of paved surfacing elsewhere, or by the inclusion of one or more appropriate Sustainable Drainage Systems (SuDS) in the scheme”*

- **London Clay is the shallowest strata at the site.**

*“The PDISP analyses indicated only modest heave movements less than 10mm are likely to develop beneath the basement walls.”*

*“The analyses indicated that the maximum predicted post-construction displacements beneath the slab are likely to be about 5mm (total and differential).”*

- **There is a history of seasonal shrink-swell subsidence in the local area, and/or evidence of such effects at the site.**

*“Review potential impact of future vegetation growth. Designer and contractor to take account of any weakening of the structure caused by past movements.”*

- **The site is within 5m of a highway or pedestrian right of way.**  
*“Ensure adequate temporary and permanent support by use of best practice underpinning methods.”*
- **The proposed basement will significantly increase the differential depth of foundations relative to the neighbouring properties.**  
*“The existence of the new basement beneath No.53 which extends to the same depth as the proposed basement means that no further excavation will be required below the 53/55 party wall. Thus, no damage category assessment is applicable for that wall.”*

For the party wall between numbers 55/57 it is stated that “...deformations represent a damage category of ‘negligible”

- **The site is over (or within the exclusion zone of) tunnels, e.g. railway lines.**  
*“Two railway tunnels are known to pass just north and south of the site; Network Rail have provided a plan showing the tunnel locations but have yet to advise whether any special precautions will be required in relation to these tunnels.”*
- **The site is in an area known to be at risk from surface water flooding, or is it at risk from flooding, for example because the proposed basement is below the static water level of a nearby surface water feature.**  
*“Ornan Road was affected by the surface water flooding during the 2002 event, but not in 1975, though this was probably downslope of No.55 in the area the Environment Agency’s (2014) model predicts an increased risk of flooding”*  
  
*“In view of the ‘Very Low’ risk of surface water flooding predicted by the Environment Agency, only basic flood resistance measures will be required to protect the basement from local surface water flooding, including:*
  - 1. Provision of upstands around the proposed lightwells at the front and rear of the house;*
  - 2. Installation of suitably raised thresholds at the doorways into the basement from the lightwells.”*

### 3.2 The Audit Process

The audit process is based on reviewing the BIA against the criteria set out in Section 6 of the CGHSS and requires consideration of specific issues:

#### 3.2.1 Qualifications / Credentials of authors

Check qualifications / credentials of author(s):

##### Qualifications required for assessments

<b>Surface flow and flooding</b>	A Hydrologist or a Civil Engineer specialising in flood risk management and surface water drainage, with either: <ul style="list-style-type: none"> <li>• The "CEng" (Chartered Engineer) qualification from the Engineering Council; or a Member of the Institution of Civil Engineers ("MICE"); or</li> <li>• The "C.WEM" (Chartered Water and Environmental Manager) qualification from the Chartered Institution of Water and Environmental Management.</li> </ul>
<b>Subterranean (groundwater) flow</b>	A Hydrogeologist with the "CGeol" (Chartered Geologist) qualification from the Geological Society of London.
<b>Land stability</b>	A Civil Engineer with the "CEng" (Chartered Engineer) qualification from the Engineering Council and specialising in ground engineering; or A Member of the Institution of Civil Engineers ("MICE") and a Geotechnical Specialist as defined by the Site Investigation Steering Group. With demonstrable evidence that the assessments have been made by them in conjunction with an Engineering Geologist with the "CGeol" (Chartered Geologist) qualification from the Geological Society of London.

**Surface flow and flooding:** The report meets the requirements.

**Subterranean (groundwater) flow:** The report meets the requirements.

**Land stability:** The report meets the requirements.

#### 3.2.2 BIA Scope

Check BIA scope against flowcharts (Section 6.2.2 of the CGHSS).

The BIA scope is considered to be appropriate.

#### 3.2.3 Description of Works

Does the description of the proposed development include all aspects of temporary and permanent works which might impact upon geology, hydrogeology and hydrology?

Yes.

### **3.2.4 Investigation of Issues**

Have the appropriate issues been investigated? This includes assessment of impacts with respect to DP27 including land stability, hydrology, hydrogeology.

Yes.

### **3.2.5 Mapping Detail**

Is the scale of any included maps appropriate? That is, does the map show the whole of the relevant area of study and does it show sufficient detail?

Yes.

### **3.2.6 Assessment Methodology**

Have the issues been investigated using appropriate assessment methodology? (Section 7.2 of the CGHSS).

Yes.

### **3.2.7 Mitigation**

Has the need for mitigation been considered and are appropriate mitigation methods incorporated in the scheme? (Section 5 of the CGHSS)

Yes.

### **3.2.8 Monitoring**

Has the need for monitoring been addressed and is the proposed monitoring sufficient and adequate? (Section 7.2.3 of the CGHSS)

Yes.

### **3.2.9 Residual Impacts after Mitigation**

Have the residual (after mitigation) impacts been clearly identified?

Yes.

## **4. Assessment of Acceptability of Residual Impacts**

### **4.1 Proposed Construction Methodology**

The proposed construction methodology appears appropriate.

### **4.2 Soundness of Evidence Presented**

The evidence presented appears sound.

### **4.3 Reasonableness of Assessments**

The assessments made appear reasonable.

### **4.4 Robustness of Conclusions and Proposed Mitigation Measures**

The conclusions and proposed mitigation appear to be sufficiently robust.

## 5. Conclusions

The submitted BIA reflects the processes and procedures set out in DP27 and CPG4.

As a consequence it is considered that the present submission does demonstrate sufficient detail and certainty to ensure accordance with DP27, in respect of

- a. Maintaining the structural stability of the building and any neighbouring properties
- b. Avoiding adverse impact on drainage and run-off or causing other damage to the water environment and
- c. Avoiding cumulative impacts on structural stability or the water environment